

# *Evaluating AOP Evidence*

Creating an Adverse Outcome Pathway in the AOP Wiki  
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## *Outline/Objectives*

Why/How we evaluate evidence for AOPs

- Background
- Components of Evaluation
  - – OECD Handbook/wiki
- Principles of Best Practice

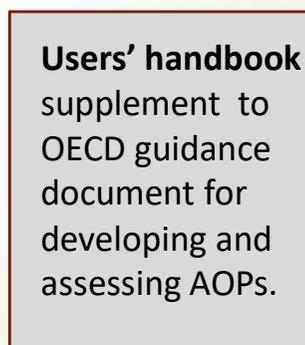
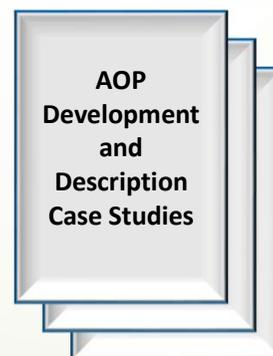
An introduction

# Formalizing AOP Descriptions and Assessment to Support Regulatory Application

- OECD Guidance on Developing and Assessing AOPs (2013, 2014)
  - Conventions and terminology
  - Information content of an AOP description
  - **Weight of evidence (WOE)/confidence evaluation**



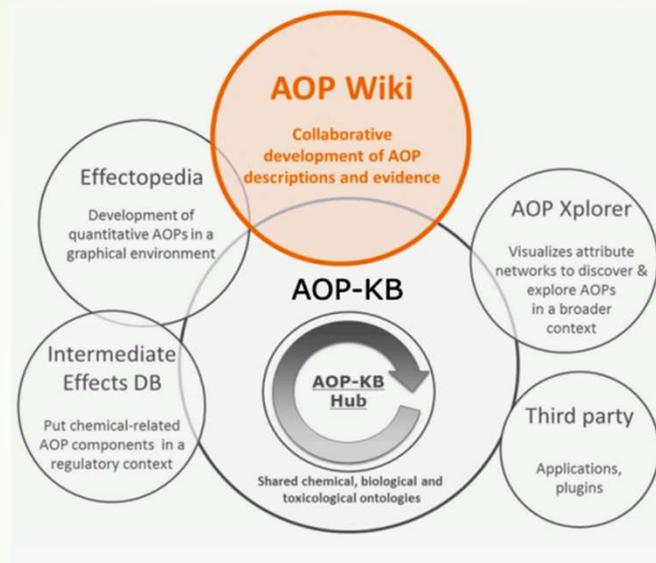
AOPWIKI.org



[http://aopkb.org/common/AOP\\_Handbook.pdf](http://aopkb.org/common/AOP_Handbook.pdf)

# Addressing the Research-Regulatory Interface: The AOP Knowledge Base

OECD  
AOP devt and  
assessment (2012)  
Test Guidelines  
Hazard Evaluation



> 200 AOPs

## **Facilitating research collaboration:**

- Avoiding duplicative effort
- Integration and analysis
- Building networks
- Accessible and searchable

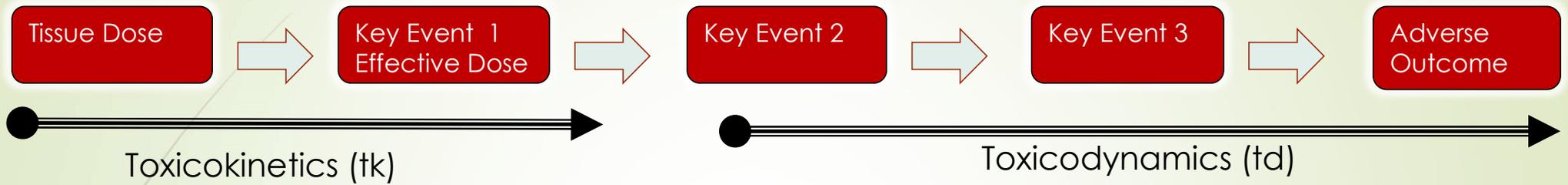
## **Addressing regulatory needs:**

- Systematically organized
- Transparent, well documented
- Scientifically-defensible, credible



Identifying data gaps relevant to application

# Mode of Action/Adverse Outcome Pathways



**Chemical specific**  
absorption, distribution,  
metabolism, excretion

**Chemical agnostic** biological  
pathway

Adverse Outcome Pathway  
(AOP)

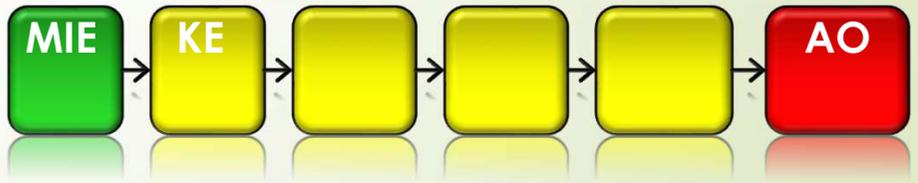


MOA  
Analysis;  
Biological  
Plausibility in  
Epi Studies

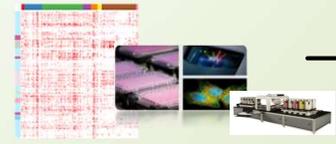
Integrated  
Testing

Monitoring  
of  
Environment

AOPs



KERs



## **Background – WOE Analysis for AOPs**

- ▶ Draws on experience in mode of action (MOA) analysis for regulatory application
  - ▶ Modified for AOPs (non chemical specific biological pathway)
- ▶ Based on modified Bradford Hill (B/H) considerations
  - ▶ Initially introduced to assess causality of associations observed in epidemiological studies in humans
  - ▶ later adapted to impacts on wildlife (“ecoepidemiology”)
- ▶ Guidance expected to evolve as additional AOPs are developed and documented

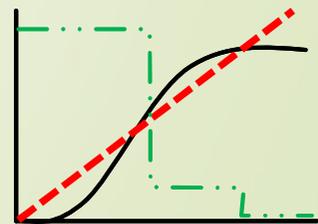
# Weight of Evidence/Quantitation of KERs

## Qualitative WOE

- To **simplify**, clarify and “codify” to the extent possible, qualitative WOE consideration addressing:
  - Focus (a limited no. of critical elements)
    - Including “patterns of empirical support”
  - Clarification of the nature of supporting data through:
    - defining questions
    - criteria & examples

## Quantitation of KERs

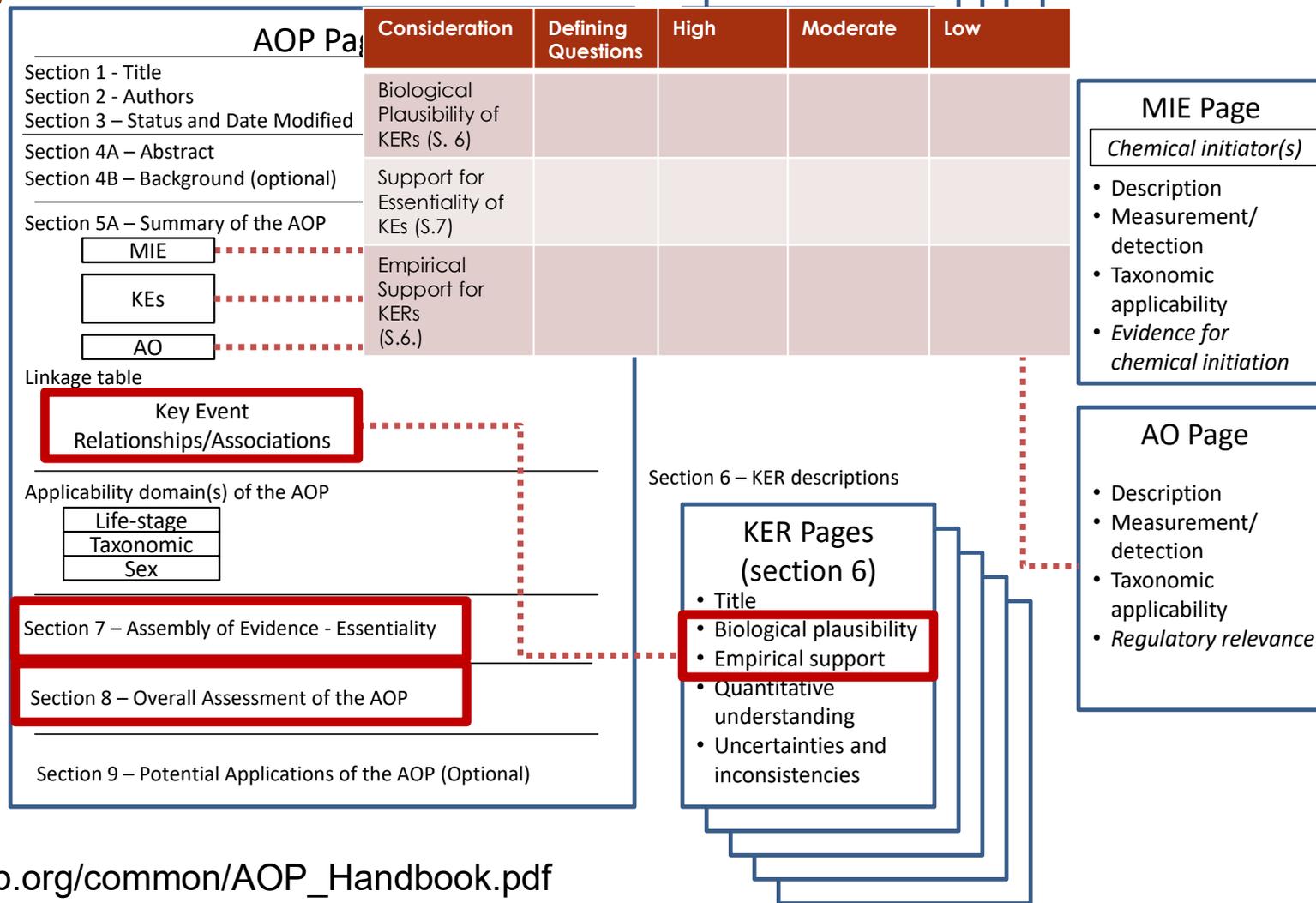
- quantitation of the KERs, as a basis for developing predictive response-response models



How much change in  $KE_{up}$  is needed to evoke some unit of change in  $KE_{down}$ ?

## Annex 1

Section 5b – MIE, KE, and AO descriptions



# Annex I

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3. Empirical Support for KERS	Defining Question	High	Moderate	Low
	<p>Does KE<sub>up</sub> occur at lower doses and earlier time points than KE<sub>down</sub> and at the same dose of stressor, is the incidence of KE<sub>up</sub> &gt; than that for KE<sub>down</sub>?<sup>67</sup>.</p> <p>Are there inconsistencies in empirical support across taxa, species and stressors that don't align with expected pattern for hypothesized AOP?</p>	Multiple studies showing dependent change in both events following exposure to a wide range of specific stressors. (Extensive evidence for temporal, dose-response and incidence concordance) and no or few critical data gaps or conflicting data	Demonstrated dependent change in both events following exposure to a small number of specific stressors and some evidence inconsistent with expected pattern that can be explained by factors such as experimental design, technical considerations, differences among laboratories, etc..	<b>Limited or no studies reporting dependent change in both events following exposure to a specific stressor (i.e., endpoints never measured in the same study or not at all); and/or significant inconsistencies in empirical support across taxa and species that don't align with expected pattern for hypothesized AOP</b>
MIE => KE1	Empirical Support of the MIE => KE1 is xxx. Rationale: -			
KE1 => KE2	Empirical Support of the KE1 => KE2 is xxx. Rationale: -			
KE2 => KE3	Empirical Support of the KE1 => KE2 is xxx. . Rationale: -			

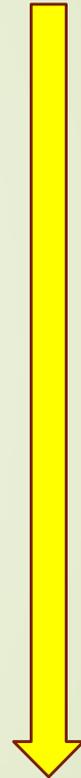
1. Support for Biological Plausibility of KERS 1	Defining Question	High	Moderate	Low
	Is there a mechanistic (i.e., structural or functional) relationship between KE <sub>up</sub> and KE <sub>down</sub> consistent with established biological knowledge?	Extensive understanding based on previous documentation and broad acceptance -Established mechanistic basis	The KER is plausible based on analogy to accepted biological relationships but scientific understanding is not completely established.	<b>There is empirical support for a statistical association between KEs (See 3.), but the structural or functional relationship between them is not understood.</b>
MIE => KE1: (cut and paste the KER description into this cell)	Biological Plausibility of the MIE => KE1 is xxx. Rationale: -			
KE1 => KE2 : (cut and paste the KER description into this cell)	Biological Plausibility of KE1 => KE2 is xxx. Rationale: -			
KE2 => KE3 ((cut and paste the KER description into this cell)	Biological Plausibility of KE1 => KE2 is xxx. Rationale: -			
2. Support for Essentiality of KEs	Defining Question	High	Moderate	Low
	What is the impact on downstream KEs and/or the AO if an upstream KE is modified or prevented?	Direct evidence from specifically designed experimental studies illustrating prevention or impact on downstream KEs and/or the AO if upstream KEs are blocked or modified	Indirect evidence that modification of one or more upstream KEs is associated with a corresponding (increase or decrease) in the magnitude or frequency of downstream KEs	<b>No or contradictory experimental evidence of the essentiality of any of the KEs.</b>
AOP	Rationale for Essentiality of KEs in the AOP is xxx:			

## Focus/Consistent Terminology – WOE for AOPs

- ▶ Biological Plausibility – **KERs**
  - ▶ Biology of the pathway
  
- ▶ Essentiality – **KEs within AOP**
  - ▶ Necessity of Key Events
  - ▶ Experimental support normally from specialized studies to block or modify key events, stop/recovery studies
  
- ▶ Empirical Support – **KERs**
  - ▶ Pattern of Quantitative Associations among Key Events often considered through application of stressors

**More  
important**

**Less  
important**

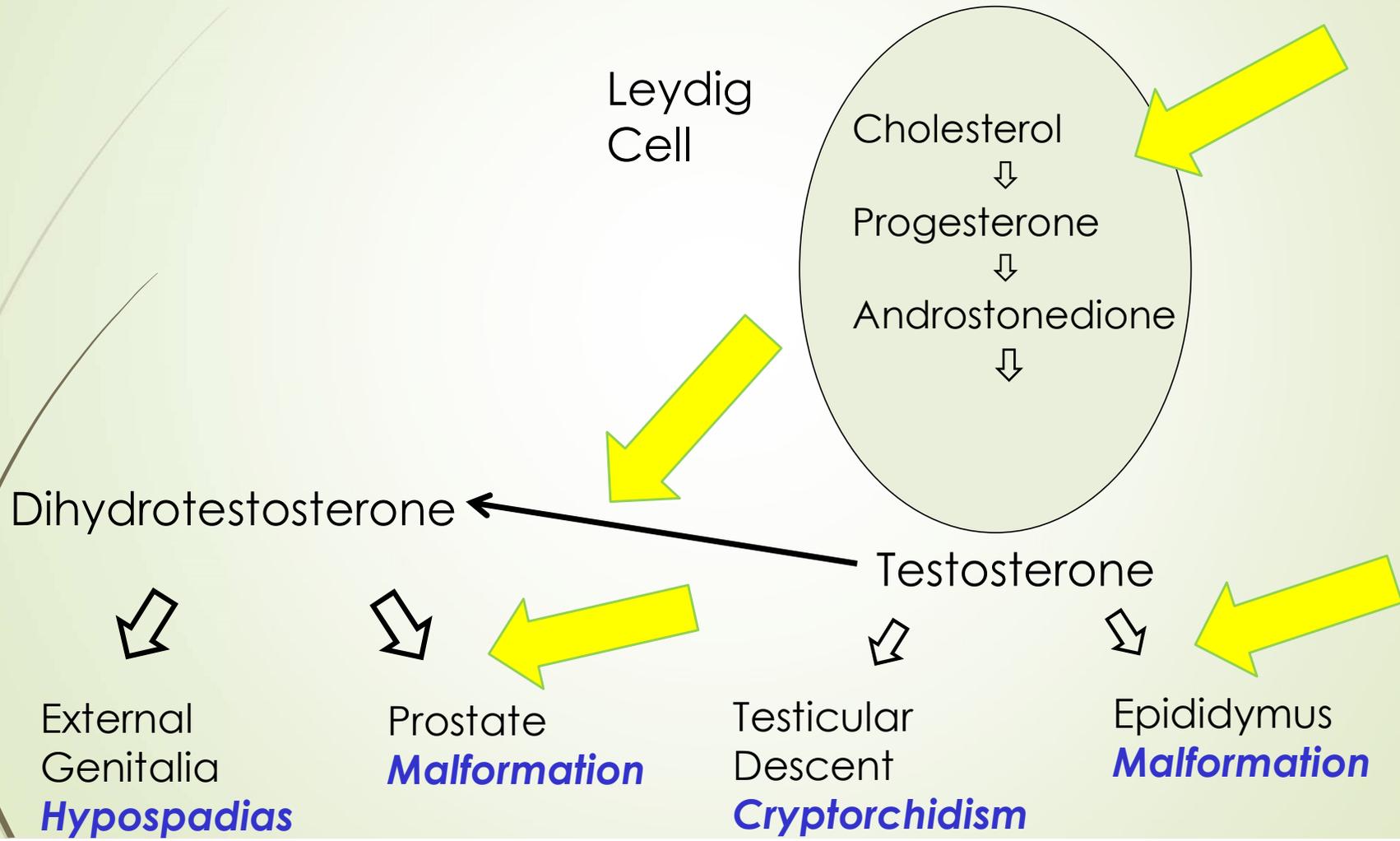


## ***Biological Plausibility of KERs***

- Strength of our hypothesis about ***normal biology***, (structural/functional relationships)
  - The extent to which the relationships in a pathway are known, documented and accepted
    - Potential Measures?
- The extent to which we understand the pathway
  - Enables “prediction” or “testing” of the impact of disturbing it

# Biological Plausibility

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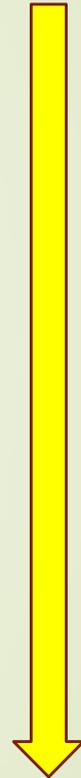
# Focus/Consistent Terminology – WOE for AOPs

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- Biological Plausibility – **KERs**
  - Biology of the pathway
- Essentiality – **KEs within AOP**
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**More  
important**

**Less  
important**



# Assembling Evidence - Essentiality of KEs

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- ▶ What is the impact on downstream KEs and/or the AO if an upstream KE is modified or prevented?
  - ▶ KEs are **necessary** elements of an AOP
- ▶ Directly measured experimental support (**direct evidence**) is most influential
  - ▶ e.g., **knockout models** – absence/reduction of  $KE_{\text{down}}$  when  $KE_{\text{up}}$  is blocked or diminished
  - ▶ e.g., **reversibility studies** where there is recovery when exposure is discontinued
    - ▶ i.e., blocking or reversing downstream responses by inhibiting (or allowing recovery) of upstream KEs

# Essentiality

## Assembling the Evidence

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Event	Direct Evidence	Indirect Evidence	No or contradictory experimental evidence	
			None	Contradictory
MIE				
KE1				
KE2				
KE3..... KEn				

### Weight of Evidence "Call"

Based on the supporting evidence for all KEs and the considerations in Annex 1, the weight of **evidence for the KEs in the context of the AOP overall** is:

**High,**

**Moderate or**

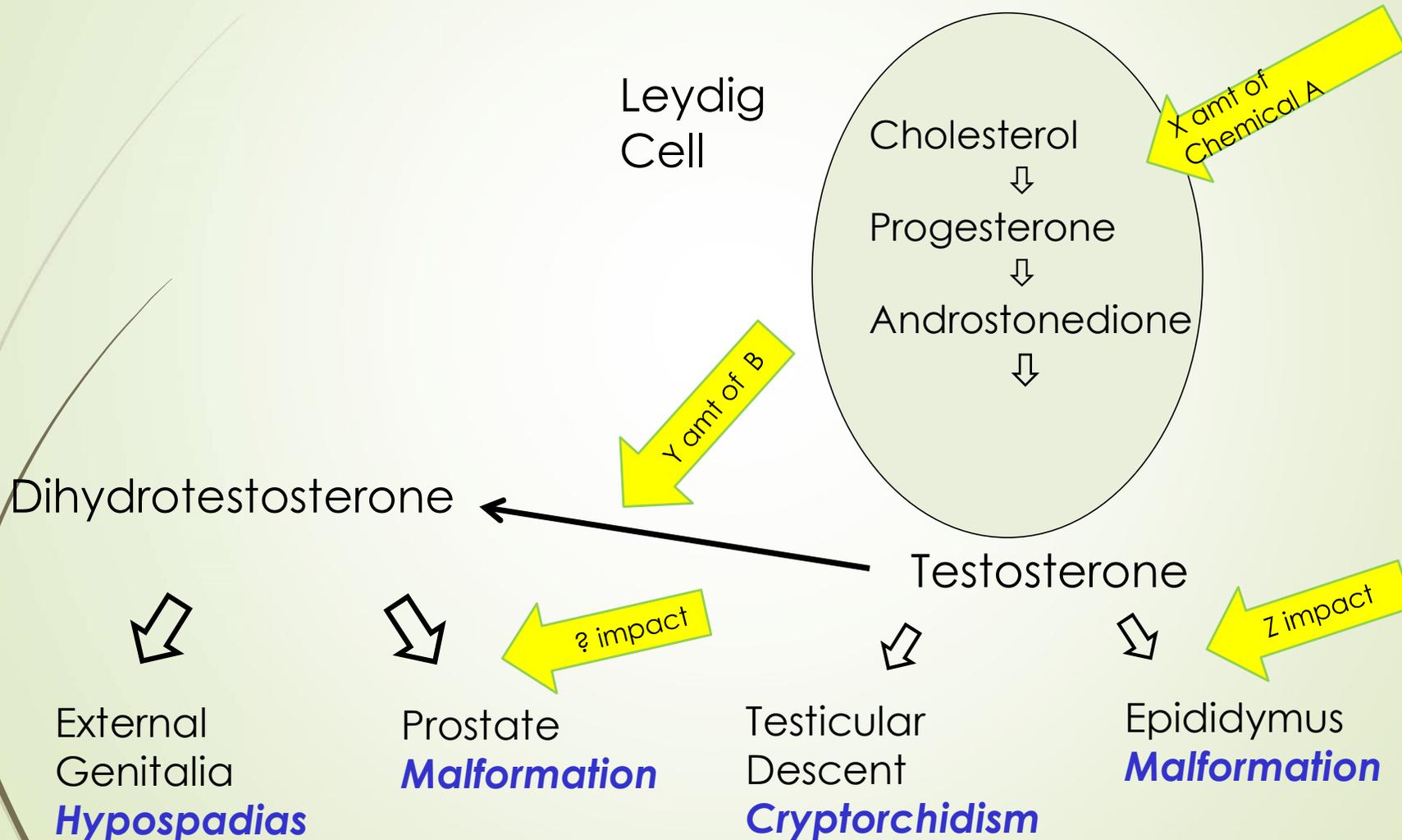
**Low**

## *Empirical Support*

- Quantitative information on extent of the impact if some aspect of (a known or suspected) pathway is **perturbed** by a stressor
- Adding quantitative experimental support **for association** between key events to what we know about the biology
- Associations are often **tested** experimentally by application of various stressors

# Empirical Support

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# *Empirical Support*

- ▶ Less influential than biological plausibility
  - ▶ Ranked below other considerations
    - ▶ Correlation  $\neq$  causation
- Rather, contributes in combination with biological plausibility
  - In general, if have strong biological plausibility, a small amount of empirical support can provide strong confidence.
  - If weak plausibility (structural/functional relationship not understood) – need a lot of empirical support to have predictive confidence

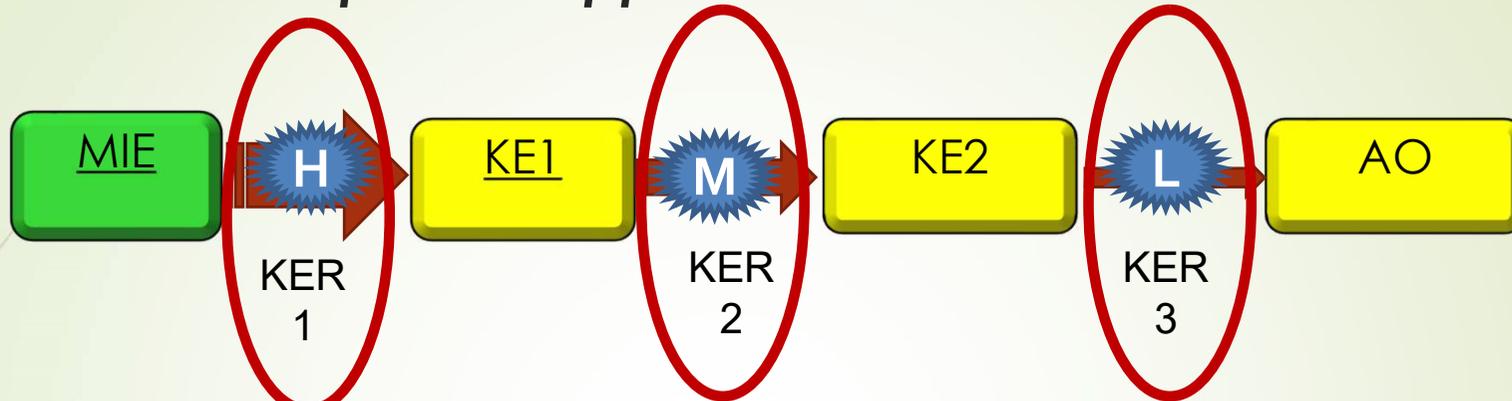
# Concordance Tables For AOPs

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Chemical A and B thought to act on same MIE

Species	Chem	Conc.	KE1	KE2	KE3	KE4
FHM	A	1				
FHM	A	10				
FHM	A	100				
FHM	B	0.01				
FHM	B	0.1				
FHM	B	1				
RBT	B	0.05				
RBT	B	0.5				
RBT	B	2.5				
RBT	B	25				
RBT	B	250				

# A "Snapshot/Network View" to Facilitate Consideration of Context Specific Application



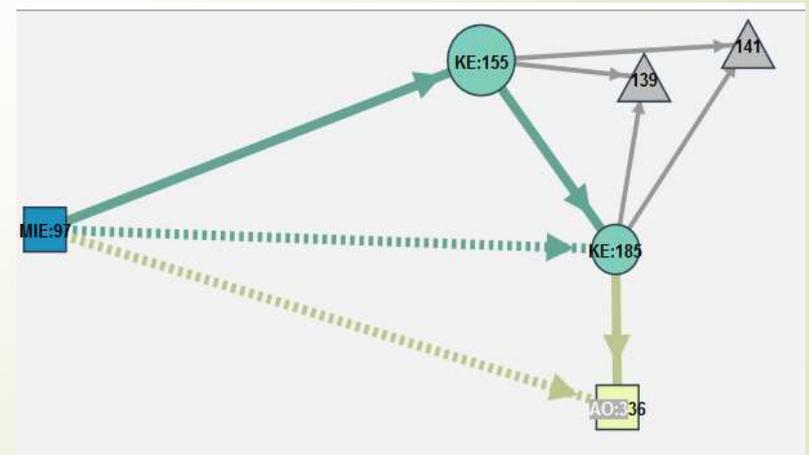
## Confidence (Qualitative) Elements:

KERs – Biological Plausibility, Empirical Support (size of the arrow to represent H, M, L confidence)

## Degree of Quantitation of KERs Effectopedia

## Essentiality of KEs:

Event	Direct Evidence	Indirect Evidence	No or contradictory experimental evidence
MIE			
KE1			
KE2			
KE3.....			
KE <sub>n</sub>			



## Best Practice - Weight of Evidence/Confidence Analysis

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- Distinguishing data supporting the various modified B/H considerations
- Characterizing nature of support for each of these considerations based on defining questions
- **Identifying inconsistencies/uncertainties** in supporting data
  - Templates/tables help
- Delineating consistent rationales for high, moderate and low confidence based on examples
- Identifying critical data gaps relevant to increasing confidence for regulatory application

# References

## Weight of Evidence

Meek et al. (2014a) New developments in the evolution and application of the WHO/IPCS framework on mode of action/species concordance analysis. *Journal of Applied Toxicology* **34**:1-18

Meek et al. (2014b) Mode of action human relevance (species concordance) framework: Evolution of the Bradford Hill considerations and comparative analysis of weight of evidence. *Journal of Applied Toxicology* **34**:595-606.

## Guidance for AOPs

OECD (2014) Users' Handbook Supplement to the Guidance Document For Developing And Assessing AOPs  
[https://aopkb.org/common/AOP\\_Handbook.pdf](https://aopkb.org/common/AOP_Handbook.pdf)

## Examples

Becker et al. (2015) Increasing Scientific Confidence in Adverse Outcome Pathways: Application of Tailored Bradford-Hill Considerations for Evaluating Weight of Evidence. *Regul. Toxicol. Pharmacol.* **72**:514-537.

Yauk et al. (2015) Development of the adverse outcome pathway "alkylation of DNA in male premeiotic germ cells leading to heritable mutations" using the OECD's users' handbook supplement. *Environ. Mol. Mutagen* DOI 10.1002/em.21954

# Expected Patterns for Empirical (Response-Response and Temporal) Support

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- ▶ Temporal Association (Time)
  - ▶ Early key events precede hypothesized late key events
- ▶ Response-Response (often considered on the basis of dose-response for applied stressors, as a surrogate)
  - ▶ The impact of early KEs is less than that for late KEs (severity↑)
    - ▶ Impact at increasing levels of biological organization to compromise normal function e.g., impact on cells vs. organs
  - ▶ Early key events occur at lower doses than late key events
  - ▶ For a given dose, the **incidence** (relative abundance/proportion impacted/frequency) of early key events is greater than or equal to that of later key events

e.g., reversible interaction with DNA → mutation → tumours